

APPENDIX 1 SUMMARY RISK REGISTER

**Agenda item 12
Appendix 1**

No.	Risk	Strategic Objective	Lead Officer	Raw Score (LxI)	Raw Grade	Residual Score (LxI)	Residual Grade
1	The Care Inspectorate does not clearly articulate its purpose and deliver its objectives in alignment with the Scottish Government's national priorities.	1,2,3	CE	25	Very High	4	Low
2	The Care Inspectorate does not maintain its staff capacity (including numbers, expertise, motivation and performance) to deliver its organisational objectives	1,2,3,4	EDS&I	16	High	8	Medium
3	The Care Inspectorate's partnership/collaborative working does not contribute effectively to the successful delivery of its strategic objectives (or those of partners)	1,2,3,4	EDS&I	16	High	8	Medium
4	The Care Inspectorate does not have adequate financial resources to support its Corporate Plan with a resulting impact on delivering objectives	1,2,3,4	EDCCS	16	High	12	High
5	We are not able to influence/persuade Government that legislation needs to change to enable us to support innovation across health & social care	1,2,3,4	EDCCS	16	High	12	High
6	A serious failure in our governance arrangements for scrutiny & assurance (including our governance of partnership working) leads to a failure to deliver our objectives	1,4	EDS&A	20	Very High	9	Medium
7	There are gaps or inadequate coverage in the Care Inspectorate's corporate governance arrangements	4	EDCCS	16	High	6	Medium
8	We are not able to evidence our contribution to the improvement agenda on topics such as the integration of health & social care, and strategic (intelligence based) commissioning	2,3	EDS&I	20	Very High	6	Medium
9	The CI experiences disruption or loss or reputation damage from a failure in its ICT business systems, physical security or information governance arrangements	4	EDCCS	20	Very high	9	Medium

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SCORING GRID

LIKLIHOOD	5 Almost Certain	5	10	15	20	25
	4 Likely	4	8	12	16	20
	3 Possible	3	6	9	12	15
	2 Unlikely	2	4	6	8	10
	1 Rare	1	2	3	4	5
		1 Insignificant	2 Minor	3 Moderate	4 Major	5 Catastrophic
		IMPACT				

Lead Officers

- CE Chief Executive
- EDS&A Executive Director Scrutiny & Assurance
- EDCCS Executive Director Corporate & Customer Services
- EDS&I Executive Director Strategy & Improvement

Strategic Objectives

- 1: Through robust and independent scrutiny and improvement processes, we will give public assurance and build confidence that social care in Scotland is rights-based and world class.
- 2: Through intelligence-led, risk based and evidence-based approaches to, and findings from, our scrutiny and improvement work, we will inform local and national policy development to contribute to ensuring a world class care system in Scotland.
- 3: We will support peoples understanding of high quality, safe and compassionate care by promoting standards and quality of service they should expect and make sure their voices are heard.
- 4: We will perform as an independent, effective and efficient scrutiny and improvement body, working to consolidate excellence, deliver cultural change, invest in a competent, confident workforce and work collaboratively with partner agencies to support the delivery of safe and compassionate, rights based care.